



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/080,972	02/21/2002	Steven P. Hiebert	10017067 -1	1591
7590 06/29/2005			EXAMINER	
HEWLETT-PACKARD COMPANY			KISS, ERIC B	
Intellectual Prop	erty Administration			
P.O. Box 272400			ART UNIT	PAPER NUMBER
Fort Collins, Co	O 80527-2400	,	2192	
			D. TE. M. H. ED. 06/00/000	_

Please find below and/or attached an Office communication concerning this application or proceeding.

		Applie	ation No.	Applicant(s)			
Office Action Summary							
		10/080			HIEBERT, STEVEN P.		
		Examir		Art Unit			
The MAILING	DATE of this commun	Eric B.		2192 with the correspondence add	drace		
Period for Reply	SATE OF UNS COMMING	neadon appears on	the cover sheet	with the correspondence aut	aress		
after SIX (6) MONTHS from  If the period for reply specified if NO period for reply is specified.  Failure to reply within the second	OF THIS COMMUN available under the provisions the mailing date of this comiled above is less than thirty (soffied above, the maximum set or extended period for reply office later than three months	ICATION. s of 37 CFR 1.136(a). In no nunication. 30) days, a reply within the atutory period will apply an y will, by statute, cause the	statutory minimum of the dwill expire SIX (6) MC application to become	, ,			
Status							
1)⊠ Responsive to	communication(s) file	ed on <i>13 April 2005</i>	5				
2a)☐ This action is <b>F</b>	Responsive to communication(s) filed on <u>13 April 2005</u> .  This action is <b>FINAL</b> . 2b)⊠ This action is non-final.						
3) Since this appli	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims							
4)⊠ Claim(s) <u>1-19</u> is	e claim(s) is/a is/are allowed. s/are rejected. is/are objected to.	are withdrawn from					
Application Papers							
Replacement dra	filed on is/are of request that any objectives awing sheet(s) including	: a) ☐ accepted or ection to the drawing( g the correction is rec	s) be held in abey uired if the drawir	o by the Examiner. ance. See 37 CFR 1.85(a). ag(s) is objected to. See 37 CF ed Office Action or form PT			
Priority under 35 U.S.C.	. § 119			•			
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
Attachment(s)	•		-				
1) Notice of References Cit			. —	Summary (PTO-413)			
2) Dotice of Draftsperson's	Patent Drawing Review ( tatement(s) (PTO-1449 o	•	Paper N	o(s)/Mail Date f Informal Patent Application (PTC	)-152) ·		
.S. Patent and Trademark Office			•				

#### DETAILED ACTION

1. The reply filed 13 April 2005 has been received and entered. Claims 1-19 are pending.

#### Response to Amendment

2. Applicant's clarifying remarks and amendments to the specification appropriately address the objections to the specification as detailed in the previous Office action. Accordingly, these objections are withdrawn.

#### Response to Arguments

3. Applicant's arguments, see pp. 9-12, filed 13 April 2005, with respect to the rejection(s) of claim(s) 1-19 under 35 U.S.C. §102(e) have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of the prior art of record, namely the XSLT specification from W3C (submitted by Applicant in the Information Disclosure Statement filed concurrently with the application), as set forth below.

#### Claim Objections

4. Claim 8 is objected to because of the following informalities: "the interpreted instructions" in line 4 should presumably read --the interpretive instructions--, which is in line with Applicant's recent amendment to p. 11 of the specification. Appropriate correction is required.

Application/Control Number: 10/080,972 Page 3

Art Unit: 2192

## Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1-9 are rejected under 35 U.S.C. 102(b) as being anticipated by "XSL Transformation (XSLT) Version 1.0," W3C Recommendation, 16 November 1999 (art of record).

Claims 1-9, in their present form, appear to recite merely an implementation of the XSLT specification. In particular, the XSLT specification describes a system of specifying (section 5.3) and applying (section 5.4) template rules (under general section 5), in which patterns (section 5.2) are matched in order to select nodes for transformation by templates. The matching of patterns, as described in the XSLT specification is the equivalent of the claimed limitations describing the interpreted instructions of the protocol transform (in each of claims 1-9), and the application of appropriate templates to transform each source node identified by the matched patterns (claim 5) is equivalent to the transforming steps using a transformation processor (an XSLT processor) to process interpretive instructions (templates), as described in each of claims 1-9. The pattern matching of the XSLT specification is transformation specific (claim 2; *i.e.*, the patterns map the specific nodes of the input document to the specific templates necessary to produce the specific output nodes), and the templates of the XSLT specification are transformation generic (claim 2), as more than one template may match a given node.

Art Unit: 2192

Associations may be drawn (claims 3 and 4), as described, for example, in section 12.1 of the XSLT specification. The specific steps in creating the output document (claims 6, 8, and 9) are described, for example, in section 7 of the XSLT specification. The creation of literal result (claim 8) elements is described, for example, in section 7.1.1 of the XSLT specification.

### Claim Rejections - 35 USC § 103

- 7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 8. Claims 10-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over "XSL Transformation (XSLT) Version 1.0," W3C Recommendation, 16 November 1999 (art of record) in view of U.S. Patent Application Publication No. US 2002/0143823 (STEVENS).

As per claims 10-19, these are computer-readable media and systems substantially paralleling the method step limitations discussed above (claims 1-4). In addition to the disclosure applied above, The XSLT specification is intentionally silent on specific machine/medium implementations of the methods described therein. However, STEVENS teaches the use of such a medium and system in implementing a structured document transformation method (see, for example, pp. 12-13). Therefore, it would have been obvious to one of ordinary skill in the computer art at the time the invention was made to implement the

Application/Control Number: 10/080,972

Art Unit: 2192

XSLT specification using known means, such as those provided by STEVENS. One would be motivated to do so to gain functional benefit from the specified transformations.

Page 5

Application/Control Number: 10/080,972

Art Unit: 2192

Conclusion

Page 6

9. The prior art made of record and not relied upon is considered pertinent to applicant's

disclosure.

10. Any inquiry concerning this communication or earlier communications from the

Examiner should be directed to Eric B. Kiss whose telephone number is (571) 272-3699. The

Examiner can normally be reached on Tue. - Fri., 7:00 am - 4:30 pm. The Examiner can also be

reached on alternate Mondays.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's

supervisor, Tuan Dam, can be reached on (571) 272-3695. The fax phone number for the

organization where this application or proceeding is assigned is (703) 872-9306.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Any inquiry of a general nature should be directed to the TC 2100 Group receptionist:

571-272-2100.

EBK /EBK June 22, 2005

TUAN DAWNER